

Protecting Human Rights in Times of Protest and Uprising

Documenting and Reporting to the United Nations



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# Trainers

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# Key Resources

Human Rights Tools for a Changing World: A Step-by-Step Guide to Human Rights Fact-Finding, Documentation, and Advocacy

Discover Human Rights: A Human Rights Approach to Social Justice Work

https://www.theadvocatesforhumanrights.org/ manuals

# Agenda

- Identifying government actions as human rights violations
- Documenting and reporting human rights violations to UN
- Leveraging reports in advocacy strategies





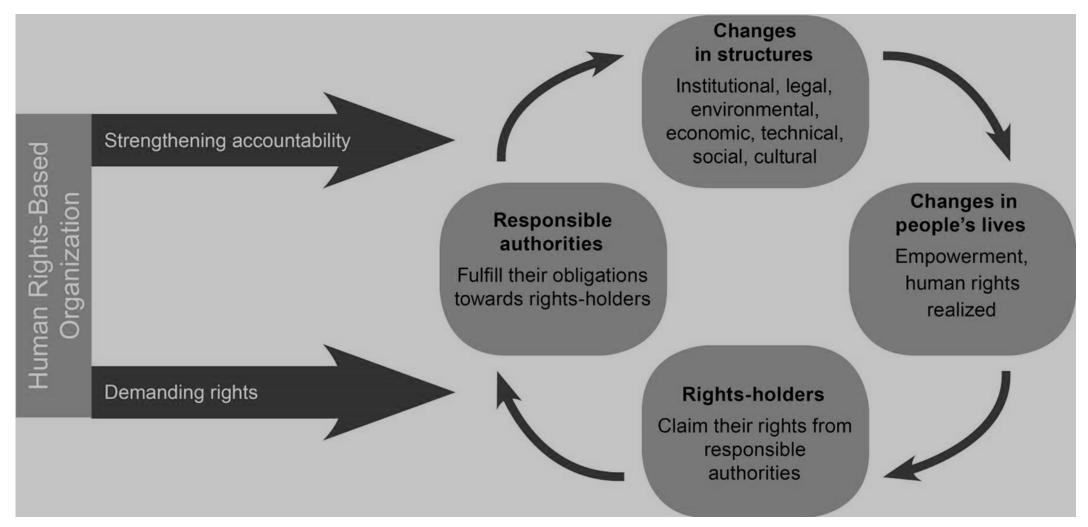


# Human Rights Goals <

• Dignity

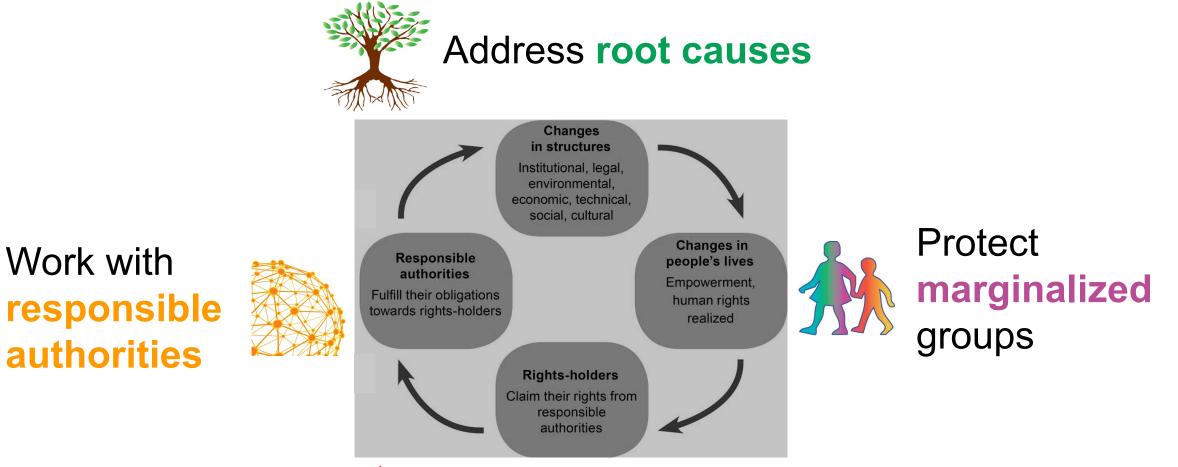
- Freedom
- Equality
- Justice
- Peace

# Human Rights Approach



# Human Rights Strategies

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**Empower rights-holders** 



What's happening on the ground?

- Proactive Arrests
- Rubber Bullets
- Unidentified Agents

### International Standards Protect Protestors

- U.S. response is not unique common repressive tactics used worldwide
- Bringing U.S. struggle to global stage helps all protestors
- Affirms importance of human rights globally

What rights are being violated?

# Right to life and security of the person

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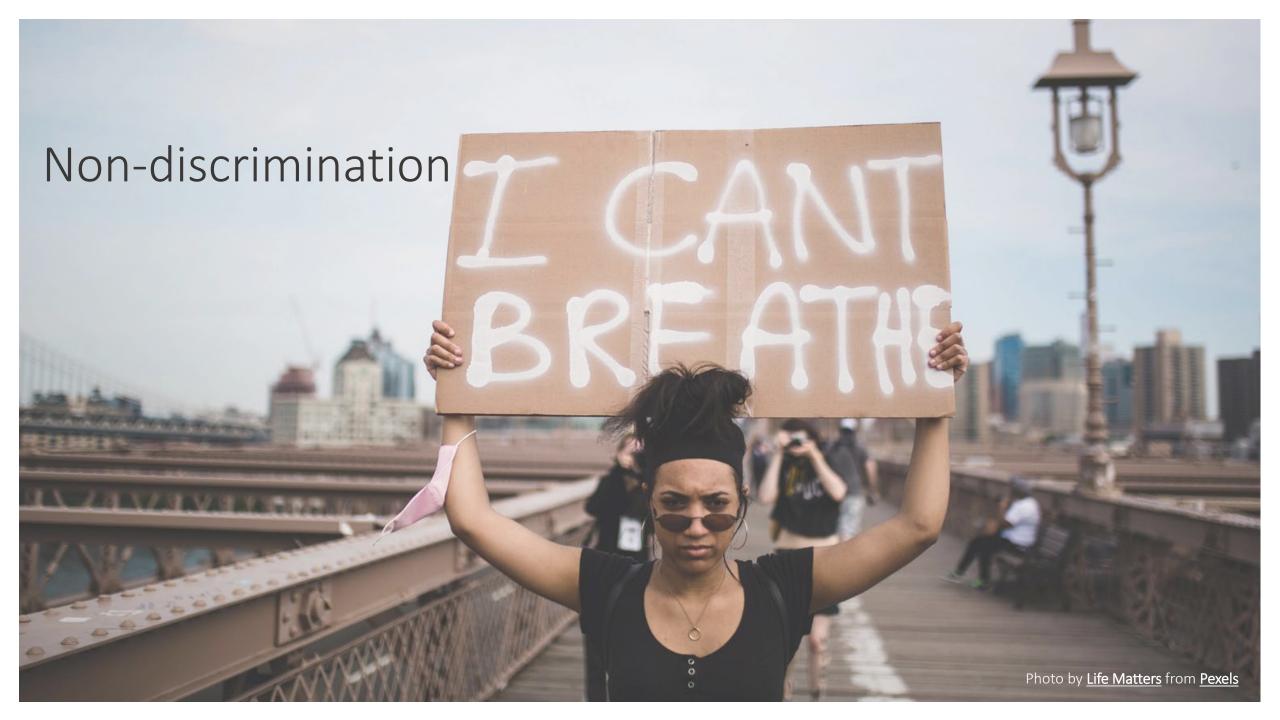
With

# Freedom of opinion and expression Peaceful assembly and association

# Arbitrary arrests and detentions Enforced disappearances Extrajudicial killings

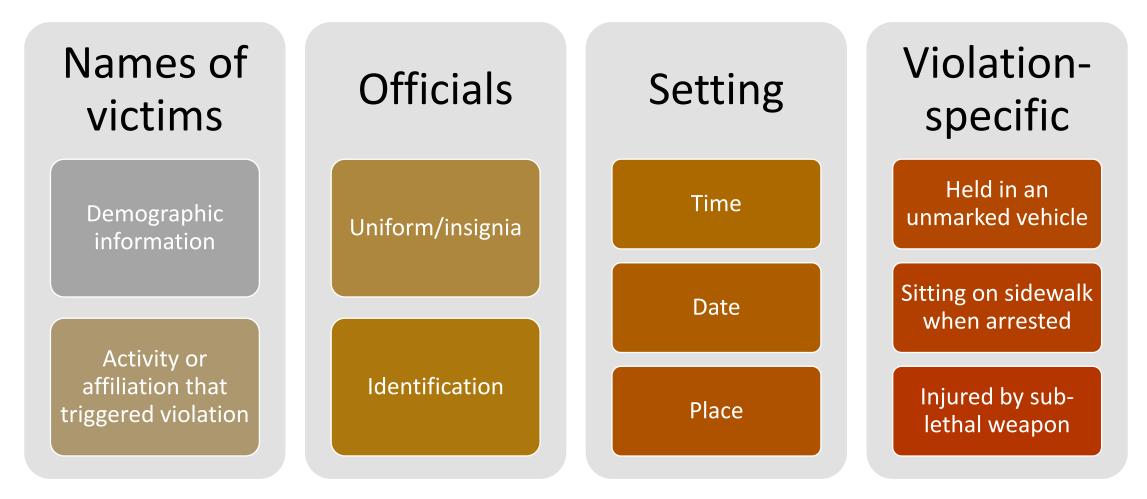


Photo by Rosemary Ketchum from Pexels

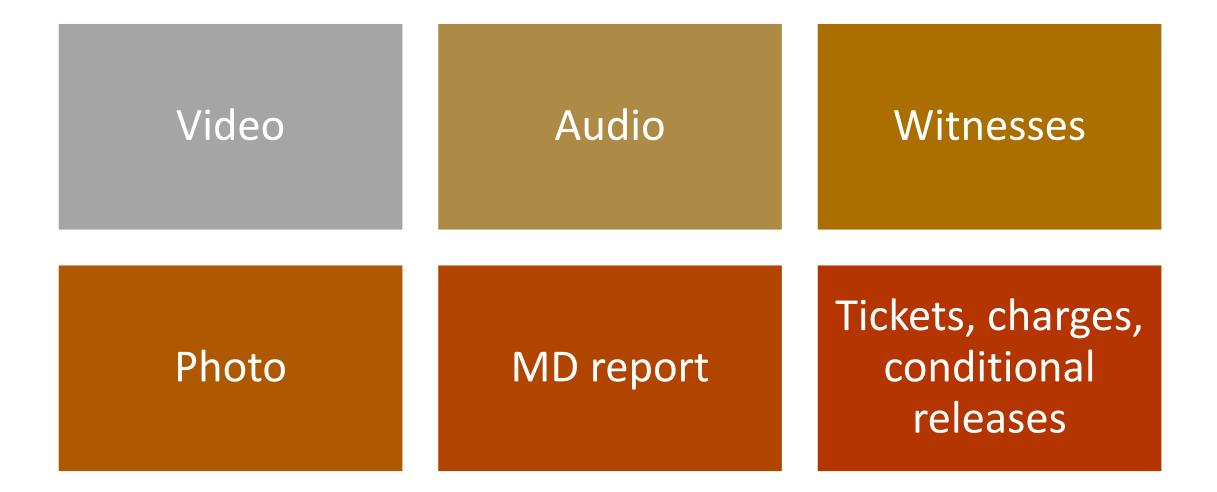


# Documenting Human Rights Violations

# What facts are important to document



# Documenting the incident



# What are "special procedures"?

Independent experts at the United Nations who act on complaints of alleged human rights violations by sending communications to governments

# Working Groups

# Arbitrary Detention

Enforced or Involuntary Disappearances

# People of African Descent

# Special Rapporteurs (Individual Experts)

Extrajudicial executions

# Freedom of opinion and expression

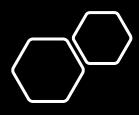
Freedom of peaceful assembly and of association

# Human rights defenders

Minority issues

Racism and racial discrimination

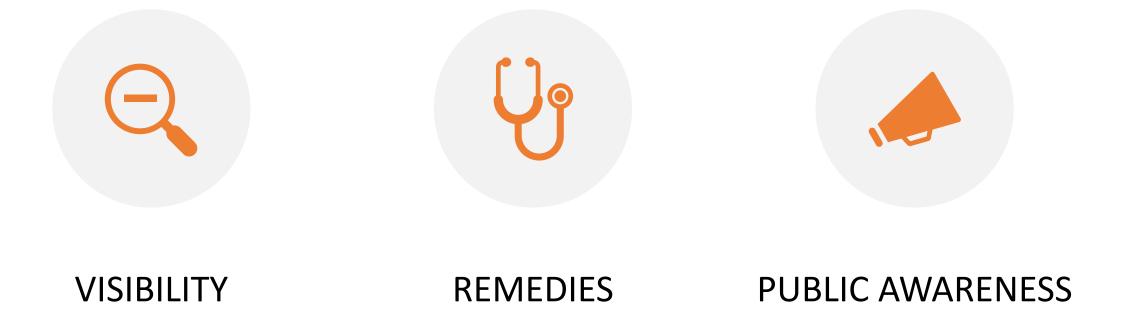
Torture and other cruel, inhuman or degrading treatment



# What are "communications"?

- Letters that Special Procedures send to Governments and others (e.g., businesses)
  - Summarizing allegations of human rights violations
  - Requesting information
- Possible topics:
  - past human rights violations
  - on-going or imminent human rights violations
  - bills, laws, or policies

# What is the purpose of "communications"?



# Process

# **Submission** by Victim/Witness

Special Procedure **Communication** to Government

#### Government Response

# What are the criteria for submissions?

- factual description of alleged violations of human rights
- based on credible and detailed information
- no abusive language
- not exclusively based on media reports
- not "manifestly unfounded or politically motivated"
- submitted by individual, group, civilsociety organization, intergovernmental entity or national human rights body

Start 🗸	Submission type	Victims	Submitter	Disclosure	Case info	Perpetrators	Submit
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#### To what issues/themes/areas is your submission related?

Please select 1 to 5 issue(s) of concern to which your submission is related to in the list, then reply to the consent question at the bottom of the screen. Once you have confirmed consent you can continue to the next step. \*

African Descent

🗆 albinism

□ arbitrary Detention

business

cultural rights

development

disability

disappearances

education

environment

#### Help and information

#### Submission summary

Reference: d4f5ry46

Type: Human rights violation

You may change the language of the submission at any time, just click on the appropriate language button: English | <u>Français</u> | <u>Español</u>

#### Help and Information

The selection of an issue of concern is **mandatory**. You may select only one or up to five issues of concern.

Then reply to the consent part at the bottom of the page.



#### Victim's information - victim is a person

#### Personal information

First name: *	Family name: *

Sex: \*

 $\bigcirc$  Male  $\bigcirc$  Female  $\bigcirc$  Other

Does the self-identified name/gender/identity differ from the information above?

 $\bigcirc$  Yes  $\bigcirc$  No

Date of birth:

Date of birth approximate?

Victim is a child (under 18 years of age)?

Nationality:

Choose country

×

#### Other information concerning the victim

Ethnic, religious, social o background:		Occupation:			
Other status:					
Bisexual	Ethnic minority	🗆 Gay			
Indigenous	Internally displaced person	□ Intersex			
Lesbian	Migrant	□ National minority			
Older person	People of African descent	Person with disability			
Refugee / asylum seeker	C Religious minority	□ Stateless			
Transgender	□ Other				
Affiliation or activity:					
Choose affiliation or ac	tivity	~			

#### Contact information of the victim

Address:

Address.

0 / 2000 characters max

Email:	Telephone:

Affiliation	or	activity:
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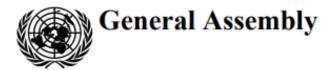
Choose affiliation or activity	~
Choose affiliation or activity	
Affiliation in an organization or association	
Cultural activity	
Humanitarian, solidarity, human rights-related activity	
Media-related activity	
Other	
Participation in a peaceful assembly	
Political or union affiliation	

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- Screened and sent to the relevant Special Procedures
- Staff may request additional information
- Not all submissions become communications
- Communications to governments are confidential for 60 days
- Check the database: <u>https://spcommreports.ohchr.org/</u>
- Transmitted communications are published in one of the three reports compiling the communications to the Human Rights Council each year.

What happens to a "submission"? United Nations

A/HRC/44/59



Distr.: General 24 June 2020

English only

Human Rights Council Forty-fourth session 15 June–3 July 2020 Agenda items 3, 4, 7, 9 and 10 Promotion and protection of all human rights, civil, political, economic, social and cultural rights, including the right to development

Human rights situations that require the Council's attention

Human rights situation in Palestine and other occupied Arab territories

Racism, racial discrimination, xenophobia and related forms of intolerance, follow-up to and implementation of the Durban Declaration and Programme of Action

Technical assistance and capacity building

#### **Communications report of Special Procedures\***

#### Communications sent, 1 December 2019 to 29 February 2020; Replies received, 1 February to 30 April 2020

Joint report by the Special Rapporteur on adequate housing as a component of the right to an adequate standard of living, and on the right to non-discrimination in this context; the Working Group of Experts on people of African descent; the Independent Expert on the enjoyment of human rights of persons with albinism; the Working Group on Arbitrary Detention; the Special Rapporteur on the situation of human rights in Belarus; the Working

Name of countires	Number of communications sent during this period	Number of communications that received a reply during this period *	Number of substantive replies received during the period**	Number of acknowledgement replies received during this period
Saudi Arabia	4	3	3	0
South Sudan	1	0	0	0
Spain	1	1	1	0
Sri Lanka	1	0	0	0
Thailand	1	0	0	0
Tunisia	1	1	1	0
Turkey	4	4	4	0
Ukraine	1	0	0	0
United Arab Emirates	1	0	0	0
United Republic of Termania	1	0	0	0
United States of America	4	1	1	0
Uzberistan	2	1	1	0
Venezuela (Bolivarian Republic of)	2	1	1	0
Viet Nam	2	1	1	0
Yemen	1	0	0	0

Date, Country, Type and ref. no. of communication	Mandates	Summary	Replies received
24 Feb 2020 United States of America JAL USA 3/2020	<ul> <li>torture</li> <li>arbitrary</li> <li>Detention</li> <li>disability</li> <li>health</li> <li>privacy</li> </ul>	Information received concerning the alleged excessive use, by authorities at the Connecticut Department of Correction, of in-cell restraints combined with indefinite and punitive use of prolonged isolation or solitary confinement and denial of appropriate mental health treatment, which reportedly has severe physical and psychological impact on at least 15 persons (see Annex 1 for the list of names) currently or formerly incarcerated at Northern Correctional Institution and other Connecticut correctional facilities. Alleged victims: 15 More details	
30 Jan 2020 United States of America AL USA 1/2020	executions	Information received concerning the death of Mr. Christopher Allen, a dual national of the United States of America and the United Kingdom, freelance journalist, who was killed in South Sudan while on duty. Alleged victims: 1 More details	03 Mar 2020
20 Dec 2019 United States of America AL USA 26/2019	• housing	Information received concerning persons living in homelessness in the United States. Alleged victims: 1500000 More details	
09 Dec 2019 United States of America AL USA 25/2019	• executions	Information received concerning allegations that the killing of 11 civilians on 11 August 2019 in Kulalgo Village, Zurmat district, Paktia Province, Afghanistan, by National Directorate of Security Special Forces, locally known as Shaheen forces, and allegedly belonging to Unit 01, occurred with the assistance of, or under the direct command of American officials. Alleged victims: 17 More details	

Communications report to session 44 of the Human Rights Council, communications sent from 01 Dec 2019 to 29 Feb 2020 and their replies received until 30 Apr 2020. Some names of individuals or other information have been

rendered	Summary			t	- □ ×		
Date, Co Type an ref. no. commu	Country: United States of City / Location: Connect Period / Date: 2019-cu		Replies received				
24 Feb 2 United 5 of Amer JAL USA 3/20	isolation or solitary confinement and denial of appropriate mental health treatment, which reportedly has severe physical and psychological impact on at least 15 persons (see Annex 1 for the list of names) currently or formerly incarcerated at Northern Correctional Institution and other Connecticut correctional facilities. <b>Number of identified alleged victims:</b> 15					authorities at the nbined with indefinite t and denial of vere physical and the list of names) stitution and other	
30 Jan 2 United 5 of Amer AL USA 1/20	Jose Jusino Kenyon Pellot-Castellano	adult adult adult	sex male male male male male male	No affiliation No affiliation No affiliation No affiliation No affiliation No affiliation		Allen, a dual national ance journalist, who	03 Mar 2020
20 Dec 2 United 5 of Amer AL USA 26/:	Leighton Johnson adult male No affiliation Luis Pagan adult male No affiliation Peter Tarasco adult male No affiliation Rasheim Lewis adult male No affiliation Raudell Mercado adult male No affiliation Steven Waterman adult male No affiliation	ss in the United					
09 Dec 2 United 5 of Amer AL USA 25/:	Victor Velasco adult male No affiliation					11 civilians on 11 e, Afghanistan, by as Shaheen forces, ce of, or under the	
1	General concerns: Total number of allege Related communicatio Previous communicati	ns:	<b>::</b> 15				

Mandates of the Special Rapporteur on torture and other cruel, inhuman or degrading treatment or punishment; the Working Group on Arbitrary Detention; the Special Rapporteur on the rights of persons with disabilities; the Special Rapporteur on the right of everyone to the enjoyment of the highest attainable standard of physical and mental health and the Special Rapporteur on the right to privacy

REFERENCE: AL USA 3/2020

24 February 2020

Excellency,

We have the honour to address you in our capacities as Special Rapporteur on torture and other cruel, inhuman or degrading treatment or punishment; Working Group on Arbitrary Detention; Special Rapporteur on the rights of persons with disabilities; Special Rapporteur on the right of everyone to the enjoyment of the highest attainable standard of physical and mental health and Special Rapporteur on the right to privacy, pursuant to Human Rights Council resolutions 34/19, 42/22, 35/6, 42/16 and 37/2.

In this connection, we would like to bring to the attention of your Excellency's Government information we have received concerning the alleged excessive use, by authorities at the Connecticut Department of Correction, of in-cell restraints combined with indefinite and punitive use of prolonged isolation or solitary confinement and denial of appropriate mental health treatment, which reportedly has severe physical and psychological impact on at least 15 persons (see Annex 1 for the list of names) currently or formerly incarcerated at Northern Correctional Institution and other Connecticut correctional facilities.

According to the information received:

The Connecticut Department of Correction (the DOC) routinely resorts to practices of prolonged isolation and in-cell restraints for the purpose of punishing and/or controlling inmates. The individuals named in this letter (see Annex 1) reportedly have been subjected to unwarranted long term social and sensory deprivation at Northern Correctional Institution ("Northern"), and many of these individuals have been denied appropriate medical and psychosocial support such that their mental health and well-being has deteriorated to the point where they have engaged in desperate and self-destructive acts.

Northern Correctional Institution

Northern, operational since 1995, is Connecticut's sole "supermax" prison. Six

- Please explain what measures are being implemented to ensure the provision of reasonable accommodation to inmates with psychosocial disabilities.
- Please provide information on steps taken by your Excellency's Government to define a maximum term beyond which solitary confinement would be considered prolonged and therefore banned.
- 9. Please provide information on the steps taken by your Excellency's Government to implement the recommendations and guiding principles for all correctional systems in the January 2016 "U.S. Department of Justice Report and Recommendations Concerning the Use of Restrictive Housing."

This communication and any response received from your Excellency's Government will be made public via the communications reporting <u>website</u> within 60 days. They will also subsequently be made available in the usual report to be presented to the Human Rights Council.

#### SUBJECT: Death of Journalist Christopher Allen in South Sudan

The continued fight against extrajudicial, summary, and arbitrary executions is an issue of utmost importance to the United States. We therefore thank you for your January 30, 2020, correspondence regarding the death on August 26, 2017, of U.S. freelance journalist Christopher Allen in South Sudan.

The United States has a longstanding commitment to promoting the safety of journalists. Journalists are often the first to report from the front lines of conflict zones, to uncover corruption, and to highlight missteps by governments. This work places many journalists in danger, and it is important for governments and citizens worldwide to speak out for their protection and for their vital role in open societies.

We have forwarded your communication, as requested, to the Federal Bureau of Investigation. The United States remains fully engaged on this case and, since Mr. Allen's death in August 2017, has clearly and repeatedly stated to the government of South Sudan its expectation for a full and credible investigation into the facts and circumstances surrounding his death. During his visit to Juba in January 2020, Assistant Secretary for African Affairs Tibor Nagy pressed President Kiir directly for an update on South Sudan's promised inquiry into the death of Christopher Allen.

On behalf of the United States, we thank you for your tireless efforts in addressing your mandate. We take this opportunity to renew our support for your mandate and the assurances of our highest consideration.

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# Consent and Confidentiality

- Alleged victims WILL be named in communications
  - Allows government to investigate and respond to specific allegations
- Very limited exceptions if concerns with security of the alleged victims

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# Consent and Confidentiality

- Reports to the Human Rights Council include case summaries, including names of alleged victims
- Exceptions:
  - minors
  - alleged victims of sexual violence
  - clear security concerns

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# Consent and Confidentiality

- Special procedures REQUIRE victim consent
- Submission must state whether victim (or family or representative) consents to:
  - Disclosure of name to the government
  - Publication of name in the report to the Human Rights Council
- Without consent to disclose the victim's name to the government, the Special Procedures typically will not take any action.

#### Consent

You must check the box below to indicate you have the consent of the alleged victim to make a submission to Special Procedures. \*

- I am/we are the alleged victim(s), and I/we give my/our consent
- I am/we are the representative(s) of the alleged victim(s), and I/we have her/his/their consent
- I am/we are member(s) of the family/ies of the alleged victim(s), and I/we have her/his/their consent

The nature of the allegation is such that consent cannot be obtained, e.g. O the victim(s) is/are dead or has/have been subject to an enforced disappearance

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#### Consent

Before giving consent, please note that:

- The identity of alleged victims will be included in communications with Governments and others, such as intergovernmental organisations, businesses, military or security companies. If the alleged victims or their representatives make it clear in the submission that concerns relating to the security of the alleged victims exist, the experts may exceptionally decide to withhold the victims' names from the communication
- The names of alleged victims usually appear in the public Communications reports of the Special Procedures - except alleged victims under 18 years of age or alleged victims of sexual violence, whose names are NOT published. If the alleged victims or their representatives make it clear in the submission that concerns relating to the security of the alleged victims exist, the names of the alleged victims will NOT be published.

# How much time does the process take?



Urgent cases = staff may take initial action within 24 hours



One month or more for the Special Procedures to prepare the communication



60 days after the communication, it becomes public



Current US administration: 37% response rate, average time 89 days

- Online form: <u>https://spsubmission.ohchr.org/en</u>
- 24 hours to complete after you open a case

# How to file a submission

# What's the point?

- Every incident captured builds bigger picture
- Creates historical record
- Forces US response (or nonresponse)
- Raises awareness of violations and of rights

